Docket No.: 071971-0551 PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 53080

Tatsuji ISHII : Confirmation Number: 5213

Application No.: 10/578,005 : Group Art Unit: 2611

Filed: April 06, 2007 : Examiner: TRAN, Khai

For: PHASE ERROR CORRECTION CIRCUIT

# REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322

Mail Stop Certificate of Correction Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In reviewing the above-identified patent, a printing error was discovered therein requiring correction in order to conform the Official Record in the application.

The error noted is set forth on the attached copy of form PTO-1050 Rev. 2-93 in the manner required by the Commissioner's Notice.

Specifically, the in Item "(86) § 371 (c)(1), (2), (4) Date," the date "Apr. 6, 2007" should be --May 3, 2006--. The facts are as follows:

- 1. The above-mentioned national stage application was filed on May 3, 2006 with ALL of the formal requirements fulfilled. Attached is a copy of the Transmittal Letter to the United States Designated/Elected Office Concerning a Filing under 35 U.S.C. § 371, a copy of the Declaration and the date-stamped postcard (see Attachment A).
- 2. Despite the fact that all of the formal requirements were fulfilled at the time of filing, the undersigned received a Notification of Missing Requirements dated March 14, 2007, which

indicated that the oath or declaration "is not executed in accordance with either 37 C.F.R. §§ 1.66 or 1.68" (see Attachment B).

- 3. On April 6, 2007, the undersigned responded to the Notification of Missing Requirements and stated that Declaration had previously been filed on May 3, 2006 and that the signature of the inventor as it appears on the Declaration is in fact the inventor's signature. A copy of the Declaration as originally filed on May 3, 2006 was also enclosed (see Attachment C). Therefore, the declaration was in fact executed in accordance with either 37 C.F.R. §§ 1.66 or 1.68.
- 4. The Office issued a Notice of Acceptance which indicated that the date of completion of all of the 35 U.S.C. § 371 requirements was April 6, 2007 (see Attachment D). This is incorrect because ALL of the requirements were fulfilled on May 3, 2006. The Office accepted the Declaration filed on April 6, 2007, but not the Declaration filed on May 3, 2006. Both Declarations are identical.
- 5. On September 10, 2007, the undersigned filed a Request for Corrected Filing Receipt to again reiterate the incorrect 371(c) date listed on the Filing Receipt (see Attachment E).
- 6. A Corrected Filing Receipt dated April 30, 2009 was received by the undersigned which indicated the correct Filing Date or 371(c) date as May 3, 2006 (see Attachment F).

The change requested herein occurred as a result of printing the Letters Patent and the Certificate should be issued without expense under Rule 322 of the Rules of Practice. Accordingly, Applicants request issuance of the Certificate of Correction.

# 10/578,005

Please charge any shortage in fees due in connection with the filing of this paper to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

WILL & EMERY LLP

Please recognize our Customer No. 53080

as our/correspondence address.

Michael E Fogarty

Registration No. 36,139

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096 Phone: 202.756.8000 MEF:lcb

Facsimile: 202.756.8087

Date: July 13, 2010

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO

7649962

Page 1 of 1

APPLICATION NO. : 10/578,005

ISSUE DATE

: January 19, 2010

INVENTOR(S)

: Tatsuji ISHII

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Item "(86) § 371 (c)(1), (2), (4) Date," the date "Apr. 6, 2007" should be --May 3, 2006--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

600 13th Street, N.W. Washington, D.C., DC 20005-3096

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14 This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: ATTENTION Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# ATTACHMENT A

AP3 Rec'd PCT/PTO 03 MAY 2009

FORM PT	Q-1390	U.S. DEPA	ARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER			
<i>-</i>	DE	SIGNATED/ELECTE	TÒ THE UNITED STATES ED OFFICE (DO/EO/US) G UNDER 35 U.S.C. 371	071971-0551			
				U.S. APPUC. NO. (if known see 37 GFR-1.5)- Not yet assigned 2 0 0 0 0			
1	VATIONAL 2005/0142	APPLICATION NO	INTERNATIONAL FILING DATE August 4, 2005	PRIORITY DATE CLAIMED September 9, 2004			
	OF INVENT		I	<u> </u>			
		CORRECTION CIRCUIT					
APPLIC Tatsuji		R DO/EO/US					
Applica	nt herewith	submits to the United States De	esignated/Elected Office (DO/EO/US) the following ite	ems and other information:			
1.	$\boxtimes$	This is a FIRST submission o	f items concerning a filing under 35 U.S.C. 371.				
2.		This is a SECOND or SUBSE	EQUENT submission of items concerning a filing unde	er 35 U.S.C. 371.			
3.							
4.	Ø						
5.							
6.	$\boxtimes$	An English language translation	on of the International Application as filed (35 U.S.C.	371(c)(2)).			
7.		<ul> <li>a.  are attached hereto (re</li> <li>b.  have been communicate.</li> <li>c.  have not been made; h</li> </ul>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a.   are attached hereto (required only if not transmitted by the International Bureau).  b.   have been communicated by the International Bureau.  c.   have not been made; however, the time limit for making such amendment has NOT expired.  d.   have not been made and will not be made.				
8.		An English translation of the a	amendments to the claims under PCT Article 19 (35 L	J.S.C. 371(c)(3)).			
9.	$\boxtimes$	An oath or declaration of the i	nventor(s) (35 U.S.C. 371(c)(4)).				
10.		An English translation of the a 371(c)(5)).	innexes to the International Preliminary Examination	Report under PCT Article 36 (35 U.S.C.			
Items 1	1. to 16. be	elow concern other document	(s) or information incluided:				
11.	$\boxtimes$	An Information Disclosure Sta	tement under 37 CFR 1.97 and 1.98.				
12.		An assignment document for	recording. A separate cover sheet in compliance with	h 37 CFR 3.28 and 3.31 is included.			
13.	$\boxtimes$	A preliminary amendment.					
14.		An Application Data Sheet und	der 37 CFR 1.76.				
15.		A substitute specification.					
16.		A power of attorney and/or cha	ange of address letter.				
17.		A computer-readable form of t	the sequence listing in accordance with PCT Rule 13	ter.2 and 37 CFR 1.821-1.825.			
18.		A second copy of the publishe	ed International Application under 35 U.S.C. 154(d)(4	).			
19.		A second copy of the English	translation of the International Application under 35 L	J.S.C. 154(d)(4).			
20.		Other items or information.  1) Front Page of International.  2) International Search Report  3) PCT/ISA/237  4) PCT/IB/301  5) PCT/IB/304  6) PCT Request	Application tand English Translation thereof				

IAPZORZEGIJO 03 MAY 2006

.U.S. APPLIC. NO Not yet assigned	Not yet assigned 19 10 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					<b>)</b> .	ATTORNEY'S DOCKET NUMBER 071971-0551	
							CALCULATIONS	PTO USE ONLY
21. 🛛 The fo	llowing I	ees are	submitted:		<del></del>			
☑ Basic Natio	nal Stag	ge Fee				\$300	\$300.00	
If the written opin by IPEA/US	If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations							
If the written opin IPEA/US in Search fee (37 C International International Sea	nion of the dicates FR 1.44 al Searcl arch Rep commun	ie ISA/U: all claims (5(a)(2)) hing Autl ort prepa	S or the Internations satisfy provision has been paid or hority	onal preliminary examins of PCT Article 33(1) the international applither than the US and p	-(4) ication to the USP	\$0 PTO as an \$100	\$400.00	
TOTAL OF 21, 2	2 and 2	3 =					\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or compute rprogram listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						\$0		
Total Sheets	Extra S	heets	Number of each	additional 50 or fraction additional 50 or f	on RA	TE		
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Surcharge of \$13 claimed priority d				claration later than 30	months from the e	earliest	\$0	
CLAIMS			MBER FILED	NUMBER EXTRA	RA	TE	<del></del>	,
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Independent Clai	ms	1-3 =		0		x \$200.00	\$0	
Multiple depende	nt claim	(s) (if ap	plicable)	L		+ \$360.00	\$0	
-				TOTAL OF	ABOVE CALCUL	ATIONS =	\$900.00	
☐ Applicant clai	ims sma	Il entity s	status. See 37 CF	R 1.27. Fees above a	re reduced by 1/2		\$	
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Processing fee of earliest claimed p				h translation later than	the 30 months fro	om the	\$0	
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				R 1.21(h)). The assign FR 3.28, 3.31). \$40.00		+	\$0	
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SEND ALL CORF Customer Numb			. TO.	:	SIGNATURE		May 3, 20 DATE	JUG
Telephone: 202.7					Michael E. Fogart	7	UAIL	
Facsimile: 202.75				<del></del>	NAME		<del></del>	
					36,139			~~
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MEI Form -1.2, (July 1, 2005)

Docket No.

		POWER OF ATTO  Supplemental			
next to my name; and I b	elieve that I an	n the original, first and s	sole inventor (if only or	ne name is listed	enship are as stated below I below) or an original, firs which a patent is sought or
Title: PHASE ERROR C	CORRECTION	CIRCUIT			
which is described and cl	aimed in (if the	following box is not che	cked, the specification	of which is attac	ched hereto):
☐ the attached specifi	cation, or				· · · · · · · · · · · · · · · · · · ·
the specification in t	ne Application   its filed on	No	filed on	(if anntingly)	• • •
the specification in	International A	onlication No. PCT/IP20	005/014205	(if applicable	), or
filed on August 4,	2005	_and as amended on	703/014235	(if applicable	
•					•
nereby state that as amended by any amen	t I have reviewe	ed and understand the c	ontents of the above-ion	dentified specific	ation, including the claims
is amended by any amen	omeni(s) referr	ed to above.			
I acknowledge m	y duty to disclo	se to the U.S. Patent an	nd Trademark Office al	l information kno	wn to me to be material to
patentability as defined in	Title 37, Code	of Federal Regulations,	§1.56.		
I hereby claim to	reign priority by	anefite under Title 25 L	Inited States Code St	140 (~ 4) 5470	COOC/b) -t t
application(s) for patent o	reign phonty be r inventor's cer	tificate or §365(a) of a	united States Code, 9° any PCT international	119 (a-d), §172, application whic	or §365(b) of any foreign h designated at least one
country other than the Uni	ted States of A	merica, listed below, an	d have also identified	below any foreign	in designated at least one in application for patent or
nventor's certificate, or of	any PCT interr	national application havi	ing a filing date before	that of the appl	ication on which priority is
claimed:					•
COUNTRY		APPLICATION NO.	DATE C	F FILING	PRIORITY
					CLAIMED
Japan		2004-262202	September	9, 2004	Yes
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Additional foreign or inter	mational applica	ation numbers are listed	on a supplemental pri		
Additional foreign or inter	mational applica	ation numbers are listed	on a supplemental pri	ority sheet attac	hed hereto.
I hereby claim the					hed hereto. Provisional application(s)
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I hereby claim the sted below.	e benefit under		Code §119(e) of any	/ United States	Provisional application(s)

<sup>☐</sup> Additional U.S. provisional application numbers are listed on a supplemental priority sheet attached hereto.

MEI Form -1.2, (July 1, 2005)

#### Docket No.

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONEI
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□ Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorneys and agents associated with U.S. Patent and Trademark Office Customer Number identified bellow to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that customer number.

I hereby authorize the U.S. attorneys and agents associated with the customer number to accept and follow instructions from Matsushita Electric Industrial Co., Ltd., and any affiliated or subsidiary company thereof, received via their corporate representatives and/or their foreign patent attorneys or agents, if any, as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys or agents and myself.

Direct Correspondence to:		
	<b>CUSTOMER NUMB</b>	ER 53080

I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Sole or First Inventor	FIRST NAME Tatsuji	ISHII TATSVII	SIGNATURE I SHI L	DATE OF	SIGNATURE
Residence & Citizenship	CITY Osaka	STATE OR COUNTRY Japan		COUNTRY OF	· /
Post Office Address	2-32-20-102, Hira	CITY ata, Ibaraki-shi, Osaka	STATE OR Jap	COUNTRY	ZIP CODE 567-0845

Full Name of Second Inventor	FIRST NAME	LAST NAME	SIGNATURE DATE	OF SIGNATURE
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY	F CITIZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE

# Docket No.

MEI Form -1.2, (July 1, 2005)

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Applicant:	Tatsuji ISHII	Docket No.	0719	71-0551		Serial No.	Not yet assigned
Title:	PHASE ERROR CORRECTION CIRCUIT	•				Patent No.	
Date Sent:	5/3/2006 Mand Carried Signal Elect	tronic 🔲	Cert. of Ma	ailing	st Class Mail	☐ Express I	Mail No.
$\boxtimes$	Transmittal Letter						
·	New Patent App  Utility  Design  Cont.	☐ CIP	☐ Div.	☑ PCT	RCE	Prov	
$\boxtimes$	Other: National Phase			Letter submitting	pages of	drawings	
	13 pages of Specification			Req. for Approval	of Drawing Ame	endments	
	4 pages of Claims			Req. for Oral Hea	ıring		
ź,	1 pages of Abstract			Not. of Appeal	☐ Appeal	Brief	Reply Brief
	5 pages of Formal/Informal Drawings			Rule 312 Amendr	nent/Letter		
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	Recordation of Assignment/Security Agreement		□ F	Publication Fee			
$\boxtimes$	Information Disclosure Statement			Req. for Certificat	te of Correction		
	1 Form PTO 1449		<u> </u>	Maintenance Fee	foryear	s after grant	
_	1 References attached		⊠ I	International Sea	rch Report		
	Preliminary Amendment			Front Page of Inte	emational Public	ation	
	Response to Missing Parts Notice		$\boxtimes$	PCT Request			
	Resp. to Notice to Correct App. Papers		⊠ I	PCT/IB/301			
	Certified Copy of Priority Doc.		⊠ I	PCT/IB/304			
	Claim for Convention Priority		⊠ I	PCT/ISA/237			
	Response/Amendment to Office Action of				•		
	Request for month Extension of Time						
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CMS Descrip	o.: 1-300.00 31-400.00 32-200.00					EARLY SE	RIAL NUMBER
THE PATENT	T AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEM	ENT THAT THE	ITEMS, CHE	CKED ABOVE, WEI	RE RECEIVED BY	THE PTO ON THE	DATE STAMPED.
			·	1			

AP3 Rec'd PCT/PTO 03 MAY 2000

# ATTACHMENT B



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

\*OC000000022875019\*

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/578,005 Tatsuji Ishii 071971-0551

INTERNATIONAL APPLICATION NO.

PCT/JP05/14295

20277
MCDERMOTT WILL & EMERY LLP
600 13TH STREET, N.W.
WASHINGTON, DC 20005-3096

1.A. FILING DATE PRIORITY DATE

08/04/2005 09/09/2004

CONFIRMATION NO. 5213
371 FORMALITIES LETTER

Date Mailed: 03/14/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/03/2006
- English Translation of the IA filed on 05/03/2006
- Copy of the International Search Report filed on 05/03/2006
- Preliminary Amendments filed on 05/03/2006
- Information Disclosure Statements filed on 05/03/2006
- Oath or Declaration filed on 05/03/2006
- Request for Immediate Examination filed on 05/03/2006
- U.S. Basic National Fees filed on 05/03/2006
- Priority Documents filed on 05/03/2006
- Specification filed on 05/03/2006
- Claims filed on 05/03/2006
- Abstracts filed on 05/03/2006
- Drawings filed on 05/03/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

4-54 - 6

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

## PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/578.005	PCT/JP05/14295	071971-0551

FORM PCT/DO/EO/905 (371 Formalities Notice)

# ATTACHMENT C

Docket-No.: 071971-0551

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Customer Number: 53080

Tatsuji ISHII

Confirmation Number: Not Yet Assigned

Application No.: 10/578,005

0/578,005

Group Art Unit: Not Yet Assigned

Filed: May 03, 2006

Examiner: Not yet assigned

For: PHASE ERROR CORRECTION CIRCUIT

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Mail Stop Missing Requirements Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements dated <u>March 14, 2007</u>, submitted herewith are the following for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)

Submitted herewith is a copy of the Declaration and Power of Attorney as previously submitted to the U.S. Patent and Trademark Office on May 3, 2006. The signature of the inventor as it appears on the Declaration is in fact the inventor's signature. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

-Michael E ogarty

Registration No. 36,139

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096 Phone: 202.756.8000 MEF:ark

Facsimile: 202.756.8087

Date: April 5, 2007

Please recognize our Customer No. 53080 as

our correspondence address.

MEI Form -1.2, (July 1, 2005)

Docket No.

DECLARA	☑ Original ☐ Su	upplemental 🗆 S	Substitute   PCT	☐ Design	
As a below named next to my name; and I beli and joint inventor (if plural in the invention entitled:	iovo matrani me mi	umai arsi and cok	dence, post office add inventor (if only one ect matter which is clai	mama ia liaka dik	
Title: PHASE ERROR CO	RRECTION CIRCUIT	Γ	·····		
which is described and clair	uon, or				ed hereto):
the specification in the	Application No		filed on	•	
and with diliciliality	med on			/// amakaaldal	or
the specification in Int	ternational Application	n No. PCT/ <u>JP2005</u>	/014295	·	
filed on <u>August 4, 20</u>	and as	amended on		(if applicable).	•
I hereby state that I I s amended by any amendm	have reviewed and un nent(s) referred to abo	nderstand the cont	ents of the above-ider	ntified specificati	lon, including the clair
l acknowledge my d atentability as defined in Titi	luty to disclose to the le 37, Code of Federa	U.S. Patent and 1	rademark Office all in	formation know	n to me to be materia
ountry other than the United ventor's certificate, or of an	States of America. I	nder Title 35, Unit or §365(a) of any listed below, and b	ed States Code, §119 PCT international appare	plication which	designated at least of
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☐ Additional U.S. provisional application numbers are listed on a supplemental priority sheet attached hereto.

Docket No.

MEI Form -1.2, (July 1, 2005)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by defined in Title 35, United States Code §112, I acknowledge the duty to disclose information material to patentability as national or PCT international filing date of this application.

APPLICATION NO.	U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

□ Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorneys and agents associated with U.S. Patent and Trademark Office Customer Number identified bellow to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that customer number.

I hereby authorize the U.S. attorneys and agents associated with the customer number to accept and follow instructions from Matsushita Electric Industrial Co., Ltd., and any affiliated or subsidiary company thereof, received via their corporate representatives and/or their foreign patent attorneys or agents, if any, as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys or agents and myself.

Direct Correspondence to:

# **CUSTOMER NUMBER 53080**

I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Sole or First Inventor	FIRST NAME Tatsuji	ISHII TATSUT	SIGNATURE I I SHI L	DATE OF	F SIGNATURE
Residence & Citizenship	CITY Osaka	STATE OR COUNTRY Japan		COUNTRY OF	CITIZENSHIP
Post Office Address	ADDRESS 2-32-20-102, Hira	CITY ata, Ibaraki-shi, Osaka	STATE OR C	COUNTRY	ZIP CODE 567-0845

Full Name of Second Inventor	FIRST NAME	LAST NAME	SIGNATURE DATE	OF SIGNATURE
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY	OF CITIZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE

# Docket No.

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MEI Form -1.2	2. (July 1, 2005) is
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# ATTACHMENT D



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/578,005

Tatsuji Ishii

071971-0551

09/09/2004

20277 MCDERMOTT WILL & EMERY LLP 600 13TH STREET, N.W. WASHINGTON, DC 20005-3096

INTERNATIONAL APPLICATION NO.		
PCT/JP05/1	4295	
I.A. FILING DATE	PRIORITY DATE	

CONFIRMATION NO. 5213 371 ACCEPTANCE LETTER



Date Mailed: 02/14/2008

# NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

04/06/2007

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

04/06/2007

08/04/2005

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 05/03/2006
- English Translation of the IA filed on 05/03/2006
- Copy of the International Search Report filed on 05/03/2006
- Preliminary Amendments filed on 05/03/2006
- Information Disclosure Statements filed on 05/03/2006
- Oath or Declaration filed on 04/06/2007
- Request for Immediate Examination filed on 05/03/2006
- U.S. Basic National Fees filed on 05/03/2006
- Priority Documents filed on 05/03/2006
- Specification filed on 05/03/2006
- Claims filed on 05/03/2006
- Abstracts filed on 05/03/2006
- Drawings filed on 05/03/2006

page 1 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

# ATTACHMENT E

Docket No.: 071971-0551

## **PATENT**



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

he Application of

Customer Number: 53080

Tatsuji ISHII

Confirmation Number: Not Yet Assigned

Application No.: 10/578,005

Group Art Unit: Not Yet Assigned

Filed: May 03, 2006

Examiner: Not yet assigned

For: PHASE ERROR CORRECTION CIRCUIT

# REQUEST FOR OFFICIAL FILING RECEIPT

Mail Stop OFR Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on May 03, 2006, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. To date, the undersigned has not received an Official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an Official Filing Receipt as soon as possible.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Michael E. Fogarty

Registration No. 36/

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096 Phone: 202.756.8000 MEF:jwp

Facsimile: 202.756.8087

Date: September 10, 2007

Please recognize our Customer No. 53080 as our correspondence address.



Applicant:	Tatsuji ISHII	Docket No.	071971-0551	Serial No. Not yet assigned
Title:	PHASE ERROR CORRECTION CIRCUIT			Patent No.
Date Sent:	5/3/2006 Mand Carried Fax	☐ Electronic ☐	Cert. of Mailing	Express Mail No.
$\boxtimes$	Transmittal Letter	_		
	New Patent App  Utility  Design	Cont. CIP	☐ Div. ☑ PCT ☐ RCE	Prov
$\boxtimes$	Other: National Phase		Letter submittingpages of	of drawings
	13 pages of Specification		Req. for Approval of Drawing An	nendments
	4 pages of Claims		Req. for Oral Hearing	
	1 pages of Abstract		Not. of Appeal Appea	al Brief Reply Brief
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፟፟፟፟፟፟	Declaration/Power of Attorney		Req. for Acknowledgement of Ci Issue Fee	ILEO ATC
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<del>-</del>	1 Form PTO 1449	\\^\_\\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\	Maintenance Fee for yes	
	1 References attached	MAY 0 3 2006	₩ 🖾 International Search Report	
	Preliminary Amendment	MAI	Front Page of International Publi	ication
	Response to Missing Parts Notice	1	✓ PCT Request	
	Resp. to Notice to Correct App. Papers	PARA TRADELLE	☑ PCT/IB/301	
	Certified Copy of Priority Doc.		PCT/IB/304	
	Claim for Convention Priority		PCT/ISA/237	
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THE PATENT	AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACK	NOWLEDGEMENT THAT THE IT	EMS, CHECKED ABOVE, WERE RECEIVED B	Y THE PTO ON THE DATE STAMPED.

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FORM PTO-	·1390	U.S. ប <u>ិ</u> ទ្ធ, ភ	RTMENT OF COMMERCE PATENT	AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
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				SEP 1 0 2007	U.S. APPLIC. NO. (if known, see 37 CFR 1.5) Not yet assigned
INTERNA	TIONAL A	APPLICATION NO	INTERNATIONAL FILING August 4, 2005	DEFE	PRIORITY DATE CLAIMED
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APPLICA Tatsuji IS		DO/EO/US			
Applicant	herewith s	submits to the United States Des	signated/Elected Office (DO	/EO/US) the following ite	ms and other information:
1.	$\boxtimes$	This is a FIRST submission of	items concerning a filing un	der 35 U.S.C. 371.	
2.		This is a SECOND or SUBSE	QUENT submission of items	s concerning a filing unde	r 35 U.S.C. 371.
3.	$\boxtimes$	This express request to begin and (21) indicated below.	national examination proced	dures (35 U.S.C. 371(f)).	The submission must include items (5), (6), (9)
4.	$\boxtimes$	The US has been elected (Arti	icle 31).		
5.		A copy of the International Appa. is attached herewith (reb. has been communicated. is not required, as the a	equired only if not transmitted	d by the International Bur iu.	
6.	$\boxtimes$	An English language translation	n of the International Applica	ation as filed (35 U.S.C.	371(c)(2)).
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8.		An English translation of the ar	mendments to the claims un	ider PCT Article 19 (35 U	.S.C. 371(c)(3)).
9.	$\boxtimes$	An oath or declaration of the in	ventor(s) (35 U.S.C. 371(c)	(4)).	
10.		An English translation of the at 371(c)(5)).	nnexes to the International F	Preliminary Examination F	Report under PCT Article 36 (35 U.S.C.
items 11.	to 16. be	low concern other document(	s) or information included	<b>!:</b>	
11.	$\boxtimes$	An Information Disclosure Stat	lement under 37 CFR 1.97 a	and 1.98.	
12.		An assignment document for r	ecording. A separate cover	sheet in compliance with	37 CFR 3.28 and 3.31 is included.
13.	$\boxtimes$	A preliminary amendment.	•		
14.		An Application Data Sheet und	der 37 CFR 1.76.		
15.		A substitute specification.			
16.		A power of attorney and/or cha	ange of address letter.		
17.		A computer-readable form of the	he sequence listing in accord	dance with PCT Rule 13t	er.2 and 37 CFR 1.821-1.825.
18.		A second copy of the published	d International Application u	nder 35 U.S.C. 154(d)(4)	
19. ·		A second copy of the English t	ranslation of the Internations	al Application under 35 U	.S.C. 154(d)(4).
20.		Other Items or information.  1) Front Page of International A  2) International Search Report  3) PCT/ISA/237  4) PCT/IB/301  5) PCT/IB/304  6) PCT Request		reof	

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⊠ Basic i	- National Sta	ige Fee		\$300	\$300.00	
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23. 🗵 S	earch Fee	(37CFR 1.492(b))				
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# ATTACHMENT F



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

 APPLICATION NUMBER
 FILING or 371(c) DATE
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 FIL FEE REC'D
 ATTY.DOCKET.NO
 TOT CLAIMS IND CLA

20277 MCDERMOTT WILL & EMERY LLP 600 13TH STREET, N.W. WASHINGTON, DC 20005-3096 CONFIRMATION NO. 5213
CORRECTED FILING RECEIPT



Date Mailed: 04/30/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Tatsuji Ishii, Osaka, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 20277

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/14295 08/04/2005

**Foreign Applications** 

JAPAN 2004-262202 09/09/2004

If Required, Foreign Filing License Granted: 08/17/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/578,005** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**Title** 

Phase Error Correction Circuit

### **Preliminary Class**

375

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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